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GAU 2776

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF : James P. Dourish

FOR : **AN ACTIVE ANNOTATION MECHANISM  
FOR DOCUMENT MANAGEMENT SYSTEMS**

SERIAL NO. : 09/443,702

FILED : November 19, 1999

EXAMINER :

ART UNIT : 2776

LAST OFFICE ACTION : None

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Group 2700

Cleveland, Ohio 44114-2518  
July 19, 2000

### INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner of Patents  
Washington, D.C. 20231

Dear Sir:

In accordance with 37 C.F.R. §§ 1.56, 1.97, 1.98 and MPEP § 609, applicant(s) submit(s) the following Disclosure Statement concerning art of which the applicant(s) is(are) aware. A copy of PTO-1449 is enclosed herewith.

Under § 1.98(a)(3), no concise explanation of relevance is required for information that is in the English language. Accordingly, the enclosed patents require no further explanation (or no translation is available).

I hereby certify that this correspondence is being deposited  
with the United States Postal Service as first class mail in  
an envelope addressed to Assistant Commissioner for  
Patents, Washington, DC 20231, on 7/19/00


Doreen Straw  
(SIGNATURE)  
Doreen STRAW

Consideration of the appropriate paragraph(s) indicated below is respectfully requested:

- ☐ **WITHIN THREE MONTHS OF FILING:** Under § 1.97(b)(1), this information disclosure statement is being filed within three months of the filing date of the application. Although it is believed no fee is necessary, any deficiency in fees should be charged to Deposit Account No. 24-0037.
- ☒ **BEFORE FIRST OFFICE ACTION:** Under § 1.97(b)(3), this information disclosure statement is being filed before the mailing date of a first Office Action on the merits. Although it is believed no fee is necessary, any deficiency in fees should be charged to Deposit Account No. 24-0037.
- ☐ **BEFORE FINAL ACTION/WITH FEE:** Under § 1.97(c)(2), this information shall be considered if filed before the mailing date of a final action if accompanied by a fee in the amount of \$ as required by §1.17(p). The required fees should be charged to Deposit Account No. 24-0037.
- ☐ **INTERNATIONAL SEARCH REPORT:** Under § 1.97(e)(1), the undersigned certifies that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. Although it is believed no fee is necessary, any deficiency in fees should be charged to Deposit Account No. 24-0037.

Respectfully submitted,

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